

# Second quantization and Exact diagonalization

For more info, see Negele, etc Chapter 1 of Quantum  
Many-Particle Systems

June 3, 2015

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- ▶ Any particle number conserved operator could be formally decomposed into the following sequence process: first, remove  $N$  particles and add  $N$  particles. The states of these particles are generally different.
- ▶ One could represent the operators in matrix form with a orthonormal basis

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$$|\alpha_1 \dots \alpha_N\rangle = \frac{1}{\sqrt{N!}} \sum_P \xi^P |\alpha_{P_1}\rangle \otimes \dots |\alpha_{P_N}\rangle \equiv c_{\alpha_1}^\dagger \dots c_{\alpha_N}^\dagger |0\rangle,$$
$$\xi = \pm 1$$
- ▶  $a_\lambda^\dagger |\lambda_1 \dots \lambda_N\rangle \equiv |\lambda \lambda_1 \dots \lambda_N\rangle$  Or  $[a_\lambda, a_\mu^\dagger]_\pm = \langle \lambda | \mu \rangle$

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- ▶ Normalized state (state to form a orthonormal basis)

$$|\alpha_1 \dots \alpha_N\rangle = \frac{1}{\sqrt{\prod_\alpha n_\alpha!}} |\alpha_1 \dots \alpha_N\rangle$$



## Express many-body operators

- ▶ A elegant way to derive the expression is through a basis transformation
- ▶  $U = \sum_{\alpha} U_{\alpha} n_{\alpha} = \sum_{\alpha} U_{\alpha} c_{\alpha}^{\dagger} c_{\alpha}$  and  $\{|\alpha\rangle\} \rightarrow \{|\mu\rangle\}$  results  $U = \sum_{\lambda\mu} \langle\lambda|U|\mu\rangle c_{\lambda}^{\dagger} c_{\mu}$ . For example:

$$T = -\frac{\hbar^2}{2m} \int d^3x \psi^{\dagger}(x) \nabla^2 \psi(x) = \int \frac{d^3k}{(2\pi)^3} \frac{\hbar^2 k^2}{2m} c_k^{\dagger} c_k$$

$$U = \int d^3x U(x) \psi^{\dagger}(x) \psi(x) = \int \frac{d^3k}{(2\pi)^3} U_k c_k^{\dagger} c_k$$

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- ▶  $V|\alpha\beta\rangle = V_{\alpha\beta}|\alpha\beta\rangle$  Notice there is no self-interaction. So  $V = \frac{1}{2} \sum_{\alpha\beta} n_{\alpha}(n_{\beta} - \delta_{\alpha\beta}) = \frac{1}{2} \sum_{\alpha\beta} (\alpha\beta|V|\alpha\beta) a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\beta} a_{\alpha}$
- ▶ And a basis change will lead  $V = \frac{1}{2} \int d^3x d^3y \psi^{\dagger}(x) \psi^{\dagger}(y) V(x-y) \psi(y) \psi(x)$

# Construct and Represent Basis

- ▶ For either spin  $\frac{1}{2}$  or fermionic lattice model, one could use 0 and 1 to describe the local states
- ▶ For example, one site Hubbard model,  $|n_{\uparrow}n_{\downarrow}\rangle$ , we have four states  $|0\rangle = 00$ ;  $|\downarrow\rangle = 01$ ;  $|\uparrow\rangle = 10$ ;  $|\uparrow\downarrow\rangle = 11$ .
- ▶ It is convenient to separate orbitals into two parts according to spin, i.e.  $|n_{1\uparrow}n_{2\uparrow}\dots n_{N\uparrow}n_{1\downarrow}\dots n_{N\downarrow}\rangle$
- ▶ Use symmetry to block diagonalize the Hamiltonian

## Examples (one site Hubbard model)

- ▶  $H = Un_{\uparrow}n_{\downarrow} - \mu(n_{\uparrow} + n_{\downarrow})$
- ▶ Particle conservation:  $F = F_0 \oplus F_1 \oplus F_2$
- ▶  $F_0 = \{|0\rangle\}$   $H_0 = [0]$
- ▶  $F_1 = \{|\uparrow\rangle, |\downarrow\rangle\}$   $H_1 = \begin{bmatrix} -\mu & 0 \\ 0 & -\mu \end{bmatrix}$ . (one could further use spin rotation symmetry, or  $S_z$  is conserved)
- ▶  $F_2 = \{|\uparrow\downarrow\rangle\}$ ,  $H_2 = [U]$

## Examples (two sites Hubbard model)

- ▶  $H = U(n_{1\uparrow}n_{1\downarrow} + n_{2\uparrow}n_{2\downarrow}) - t \sum_{\sigma} (c_{1\sigma}^{\dagger}c_{2\sigma} + c_{2\sigma}^{\dagger}c_{1\sigma}) - \mu N$
- ▶ Particle conservation:  $F = F_0 \oplus F_1 \oplus F_2 \oplus F_3 \oplus F_4$
- ▶ It is trivial to consider the zero particle state and one particle state. Also, particle hole symmetry. so does three and four particle state
- ▶ There are 4 states with  $S_z$  zero  $|n_{1\uparrow}n_{1\downarrow}n_{2\uparrow}n_{2\downarrow}\rangle$ : 1010, 1001, 0110, 0101
- ▶ Notice, pay attention to sign for hopping term

## Examples (two sites Hubbard model)

- There are 4 states with  $S_z$  zero  $|n_{1\uparrow}n_{2\uparrow}n_{1\downarrow}n_{2\downarrow}\rangle$ : 1010, 1001, 0110, 0101

$$H = \begin{pmatrix} -2\mu + U & -t & -t & 0 \\ -t & -2\mu & 0 & -t \\ -t & 0 & -2\mu & -t \\ 0 & -t & -t & -2\mu + U \end{pmatrix}$$

## Examples (two sites Hubbard model)

- ▶ One could use translational symmetry to block diagonalize the Hamiltonian, i.e, eigenstates of momentum:

$$|\psi_1\rangle = \frac{1}{\sqrt{2}}(|1010\rangle - |0101\rangle)$$

$$|\psi_2\rangle = \frac{1}{\sqrt{2}}(|1001\rangle - |0110\rangle)$$

$$H = \begin{bmatrix} -2\mu + U & 0 \\ 0 & -2\mu \end{bmatrix}$$

$$E = \{U - 2\mu, -2\mu\}$$

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$$|\psi_1\rangle = \frac{1}{\sqrt{2}}(|1010\rangle + |0101\rangle)$$

$$|\psi_2\rangle = \frac{1}{\sqrt{2}}(|1001\rangle + |0110\rangle)$$

$$H = \begin{bmatrix} -2\mu + U & -2t \\ -2t & -2\mu \end{bmatrix}$$

$$E = \left\{ \frac{1}{2} \left( -4\mu - \sqrt{16t^2 + U^2} + U \right), \frac{1}{2} \left( -4\mu + \sqrt{16t^2 + U^2} + U \right) \right\}$$



## Examples (two sites Hubbard model)

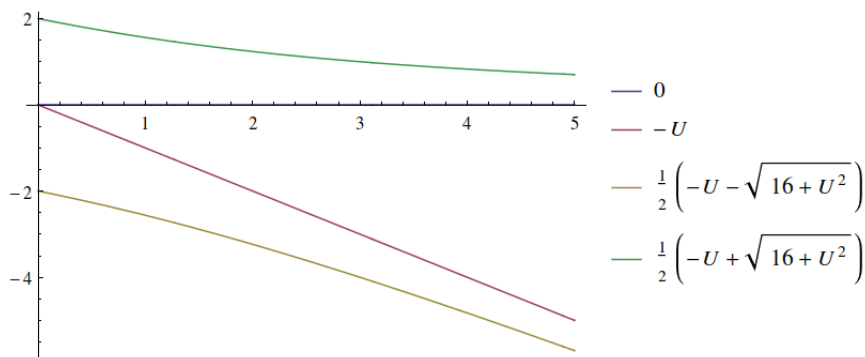


Figure : Two sites Hubbard model (eigenvalues for different  $U$ )

## Examples (two sites Hubbard model)

- ▶  $H = H_0 + \alpha \sum_i S_{iz}$
- ▶ For two-particle states, we add more states with  $S_z \neq 0$   
 $|n_{1\uparrow}n_{2\uparrow}n_{1\downarrow}n_{2\downarrow}\rangle$ : 1100, 0011 ( $S_z = 0$  includes 1010, 1001, 0110, 0101)
- ▶ For 1100, 0011,  $H = \begin{bmatrix} 2\alpha - 2\mu & 0 \\ 0 & -2\alpha - 2\mu \end{bmatrix}$

## Examples (two sites Hubbard model)

- Plot 6 eigenstates

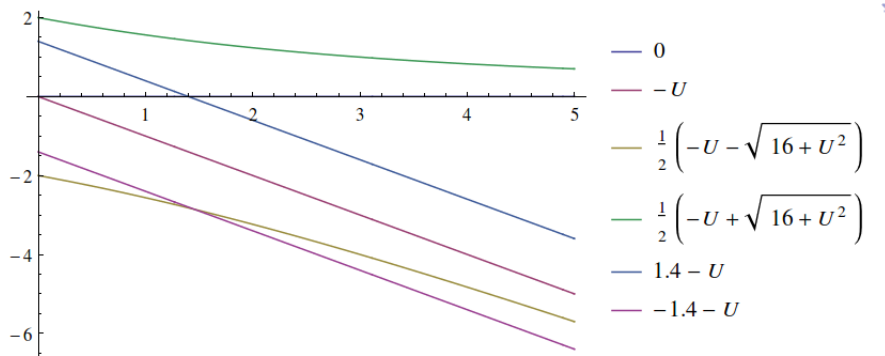


Figure : Two sites Hubbard model (eigenvalues for different  $U$ ) with magnetic field  $\alpha = 0.7$

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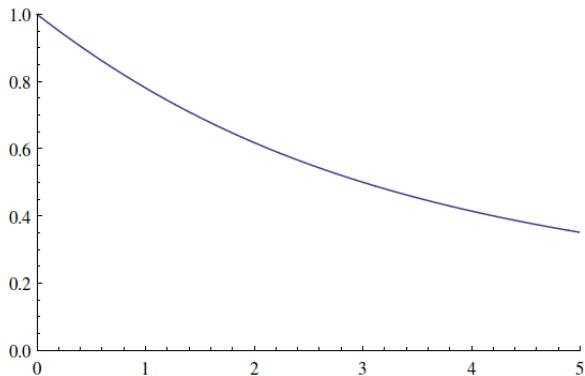


Figure : The critical magnetic field  $B_c$  with  $U$ .  $t = 1$ .